

Dossier : R-3848-2013

**HQD - DEMANDE D'APPROBATION DES CARACTÉRISTIQUES DU
SERVICE D'INTÉGRATION ÉOLIENNE ET DE LA GRILLE
D'ANALYSE EN VUE DE L'ACQUISITION D'UN SERVICE
D'INTÉGRATION ÉOLIENNE**

Evidence of

William K Marshall

Review of HQD Application

Régie de l'énergie
DOSSIER R-3848-2013
DÉPOSÉE EN AUDIENCE
Date: 12/02/2014
Pièces n° C-É34-0029

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Personal Background

- **Electrical Engineer with MSc in Power Systems**
- **37 years in the electric power business**
- **Director of Strategic Planning for NB Power (8 years)**
 - Transmission and generation planning
 - Open access transmission tariff (OATT) development
- **President and CEO of NB System Operator (4 years)**
 - Completed wind integration studies under my direction
 - Reliability Coordinator for Maritimes Area
 - Administration of the NB OATT
 - Member of Reliability Coordinating Committee of NPCC
 - Similar responsibilities to HQT system operating centre (CCR)
- **Independent consultant (5.5 years)**
 - NRCan, ACOA, NB, PEI, NS, Emera, EBM and others

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Objectives of WKM Evidence

- **Review the reliability requirements for wind integration**
- **Analyse the Application**
 - Relative to reliability requirements
 - Relative to EIE, EGM and CFQ
 - Relative to isolated wind balancing requirements
 - Relative to FERC Order 764
 - Relative to utility industry studies on wind integration
- **Comment on Mr. Hanser's evidence**
- **Provide conclusions and recommendations**

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Reliability Requirements For Wind Integration?

- **The nature of electricity drives the requirements and must be understood**
 - Electricity can not be stored
 - It travels at the speed of light
 - It has to be produced at the same time that it is consumed
- **Production must be continuously balanced with consumption**
- **Reliability has two components**
 - Adequacy – Are there enough resources? (Planning)
 - Requirement is an amount of physical transmission/generation capacity
 - Security - Can the physical resources be continuously operated? (Operating)
 - Requirement is operating flexibility to provide ancillary services
 - Frequency control, Balancing, Reserves, etc
 - Physical capacity provides ancillary services rather than energy

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Reliability Requirements

- **Resource Adequacy – Sufficient capacity to reliably supply the forecast load**
 - Criteria – “disconnect firm load not more than 1 day in 10 years”
 - HQD file “Review of Adequacy” with NPCC every year
 - Wind generation accepted as 30% of nameplate capacity
 - 5% supplemental capacity is not required for integration
 - Capacity accepted from any accredited resource
- **Security – Continuously balance load and generation**
 - NERC Control Performance Standards CPS-1 and CPS-2 measure the actual performance of CCR in controlling frequency.
 - Regulating Reserve that can increase or decrease its output via Automatic Generation Control (AGC) within 10 minutes.
 - Studies indicate 6 to 30 MW needed for 3000 MW of wind
 - Load Following capacity that can increase or decrease its output between 10 to 60 minutes
 - Studies indicate 82 to 150 MW needed for 3000 MW of wind

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Reliability Requirements

- **Security (continued)**
 - NERC Disturbance Control Standard (DCS) requires that HQT Area recover to its pre disturbance schedule within 15 minutes and restore its operating reserves within 105 minutes following a contingency greater than 500 MW
 - HQT carries 1000 MW 10 Minute Operating Reserve of which 250 MW is spinning
 - Failure penalty is an increase in spinning reserve amount
 - No indication of increased requirement for 3000 MW of wind
 - But likely increased activation with wind
 - Regulation capacity for Frequency Control is not additive to operating reserve but is included as the initial component
 - Each shorter time requirement contributes to longer time need
 - Regulating reserve counts as spinning reserve and load following
 - Spinning reserve counts as 10 minute reserve
 - 10 minute reserve counts as 30 minute reserve
 - Total current requirement under “*Entente de services complémentaires*” (ESC) is 1500 MW

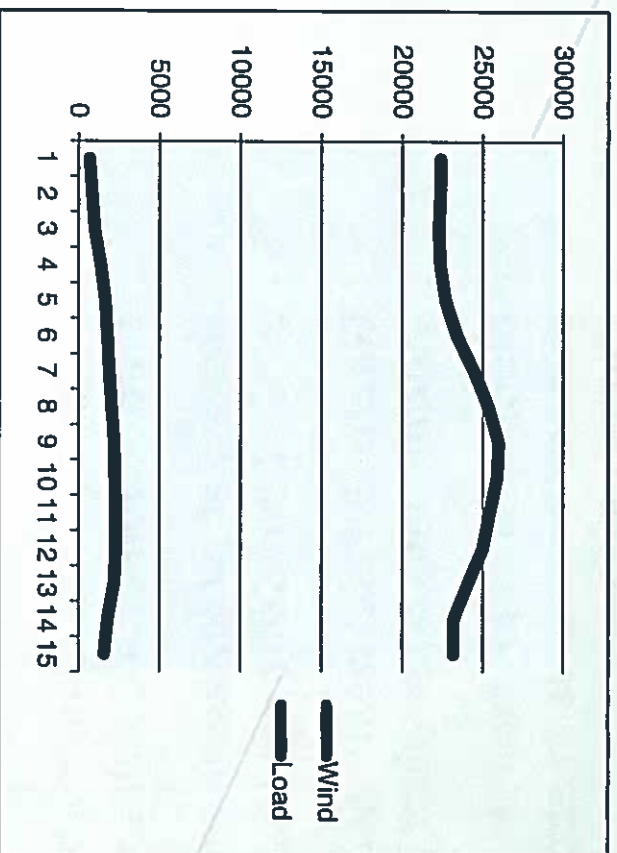
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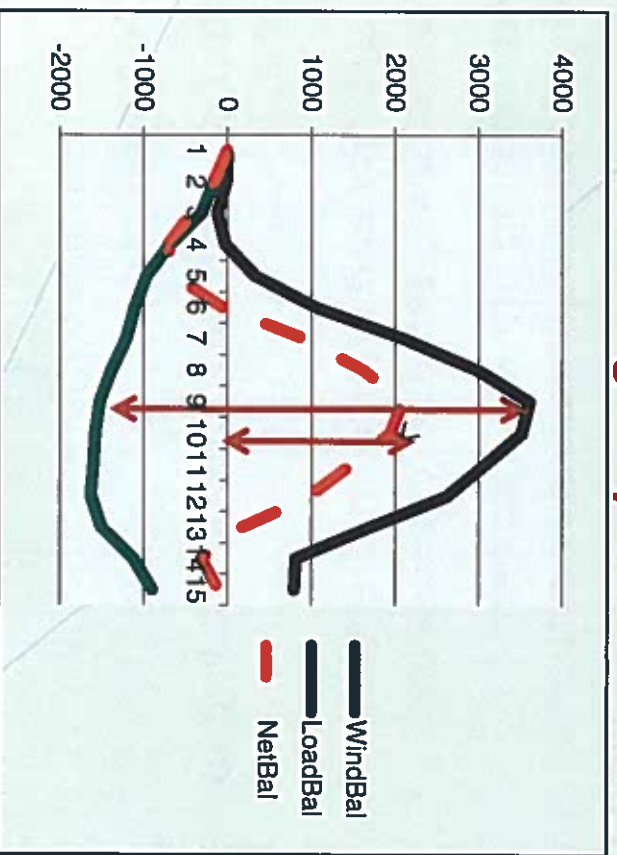
Net Load vs Isolated Balancing

- North American standard is “net load balancing”
- Consider actual wind data for Jan 20, 2013 prorated for 3139 MW in 2015 and typical mild winter day hourly load

Load and Wind MW



Balancing Requirements



- **WKM**
 - **Net Load Balancing - fewer resources - more efficient**
 - 2000 MW versus 5000 MW
- **Energy**
 - **HQD used net load balancing for Wind Studies and EGM**

HQD Application

Wind power integration service requires the supplier to:

- **Absorb in real time with a firm load the variable energy production of a Contract Quantity.**
- **Return at all times an amount of electricity corresponding to 35% of the Contract Quantity**
- **Guarantee the delivery of capacity and energy in the winter months and be subject to penalties if the delivery is short.**
- **Mobilize a load capable of absorbing the wind power generation that is in excess of the 35% required to return to the Distributor.**

There are several issues with the service as proposed that are similar to the Entente d'Intégration Éolienne (EIE) currently in effect

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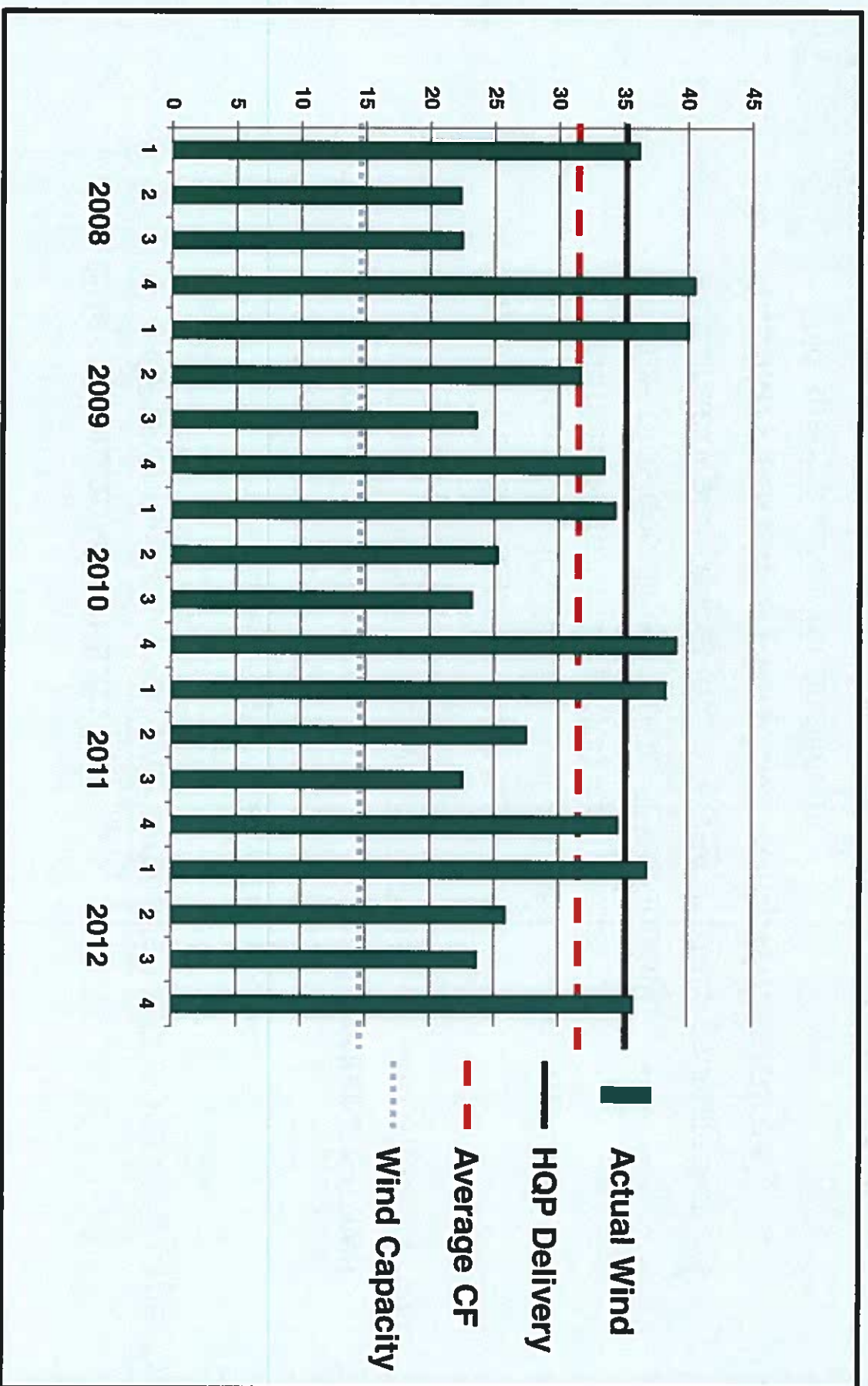
Entente d'Intégration Éolienne ("EIE") 2005

- **Energy modulation service**
 - HQP absorbs actual wind and return to HQD at flat 35% capacity factor (CF or FU) rate
 - Hourly measurements of actual versus forecast
 - Annual settlement for
 - Absolute value of wind forecast errors from actual at \$1/MWh
 - Actual wind differential from 35%CF delivery at \$75/MWh in 2005 escalated at 2.5%
- **Supplemental winter capacity**
 - Wind contribution equal to higher of 15% or lowest wind contribution for highest 300 load hours
 - HQP provides differential to 35% capacity
 - Capacity price equal to \$80/KW-yr in 2006 escalated at 2%
- **Ancillary services (Services complémentaires)**
 - No specific terms for ancillaries
 - Assumed to be negligible and/or imbedded in modulation

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Historical EIE Capacity Factor by Quarter



Source - Marshall report Figure 4-1

EIÉ is still in Force

Outstanding issues disadvantage HQD customers

- **Bundling different services into one amalgamated service**
 - Supplemental capacity is separate and should be procured via RFP
- **Mismatch of modulated energy delivery versus HQD load**
 - Actual performance has been 30.96%CF not intended 35%CF
 - HQD insistence on 35% in future is an issue
 - Seasonal wind generation is highest in winter similar to load
 - Winter surplus above 35%CF goes to HQP
 - Winter surplus plus 4% shortfall below 35% is returned in spring/summer
- **Annual settlement energy pricing**
 - Settlement price is \$75/MWh in 2005 escalated at 2.5%
 - Returned summer energy displaces much lower priced market or Heritage Pool energy
 - **\$75.6 million/year cost to HQD versus Heritage Pool**
- **Supplemental winter capacity overpayment**
 - 30% wind capacity recognized by NPCC is not accounted for
 - Winter capacity under EIÉ for 300 hours is only 15%
 - HQD has paid HQP for 20% capacity not the incremental 5%
 - **\$45 million/year cost to HQD without NPCC adjustment**

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Lessons Learned From the EIE

The following points should apply to any new agreement for wind integration

- **30% wind capacity accepted by NPCC must be considered;**
- **The supplemental capacity is not required for reliable integration of wind generation**
 - It is for adequacy and not security
 - It is a separate product that should be procured by a separate RFP if and when required as per Régie decision D-2011-193
- **Wind modulation is a commercial product that is not required for reliability;**
 - It could be eliminated or,
 - It could be a banking service as in the EGM or,
 - If the Régie wishes to have fixed returns, it should be monthly at historical monthly capacity factors rather than a yearly fixed return; and
 - As a separate service modulation should be procured via a separate RFP.

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Issues with the HQD Application

The service is proposed as a single service

- But in reality includes several distinct services
 - Modulation
 - Supplemental capacity
 - Ancillary services (Frequency Regulation and Load Following)
- They all should be procured via separate RFP's, if and when required by the Régie

Each separate service has additional issues

- Modulation of a contract quantity of variable wind into a constant 35% CF delivery is a commercial service not required for reliability
 - Modulation if needed should be monthly or EGM banking
- Supplemental capacity deemed as 5% of contract in winter actually requires 35% capacity be provided at all times
 - The 30% NPCC capacity credit is for seasonal adequacy
 - Providing wind backup to 35% in real time needs 35% capacity available
- One (1) minute control by CCR provides ancillary services for wind integration equal to 100% of the contract quantity

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Issues with the HQD Application

Each separate service has additional issues (Continued)

- No attempt by HQD to determine the correct quantity of ancillary services
 - Either as an increment to those in the “*Entente de services complémentaires*” in order to balance the net load combination of wind and Heritage resources
 - Or as needed for isolated balancing of wind independently
- HQD has challenged my understanding of the Application that 100% capacity under 1 minute control is 100% ancillary services capacity (HQD-02-01.2 Régie IR- #7.1)
 - « *La compréhension du service d'intégration éolienne de monsieur Marshall, citée en préambule, est inexacte* ».
- My understanding of the Application is
 - 100% of the capacity is under 1 minute control of CCR
 - The capacity must be controllable both up and down
 - There are no restrictions on its use
 - It is capacity able to provide ancillary services (Regulation, Load Following, Spinning Reserve, etc)

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Examples of CCR Dispatch Requirements as per the HQD Application

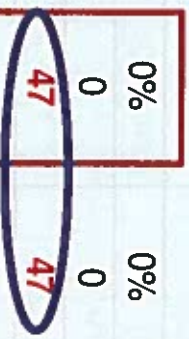
Contract Quantity (MW)	100			
	Scenario 1 High Wind Forecast Low Actual Production			
HQD Wind forecast	100%	80%	50%	10%
Actual HQD Wind Production				
	Scenario 2 Low Wind Forecast High Actual Production			
HQD Wind forecast	0%	20%	50%	90%
Actual HQD Wind Production				
	Planned Hourly Schedule			
Firm Supplier Commitment (MW)	35	35	35	35
HQD load (35%)	5	5	5	5
System losses (5.4%)	60	60	60	60
Load at HQT or Interconnection	100	100	100	100
Total Supply Required	100	100	100	100
	CCR Five (5) Minute Schedules			
Generation Dispatch (MW)	100	80	50	10
HQD Wind Generation	0	20	50	90
Initial Supplier Dispatch	0	20	50	90
CCR Instruction to Supplier	0	20	50	90
Dispatch Change Required	0	20	50	90

Source - Marshall report Figure 6-1

Reliability Requirements vs Application

(For 3139 MW of Wind Capacity)

	Minimum Requirement For Reliability		Implicitly Required in the Application	
	Winter	Summer	Winter	Summer
Adequacy (Year, Month, Day ahead)				
Supplemental Capacity(%CF)	0%	0%	35%	35%
	0	0	1099	1099
	(MW)	(MW)	(MW)	(MW)
Forecast Risk Capacity	47	47	1099	1099
	(MW)	(MW)	(MW)	(MW)
Security (Real Time)				
Ancillary Service Capacity				
Regulating Reserve (0-10 min)	6-31	6-31	3139	3139
	(MW)	(MW)	(MW)	(MW)
Load Following (<60 min)	86-157	86-157	3139	3139
	(MW)	(MW)	(MW)	(MW)
Incremental LF (10-60 min)	80-126	80-126	0	0
	(MW)	(MW)	(MW)	(MW)
Required Reliability Services Capacity	86-157	86-157	3139	3139
	(MW)	(MW)	(MW)	(MW)



Error Correction

Source - Marshall report Figure 5-1

Order in Council Regulations

- **Issue is interpretation of the requirement**
 - The energy block(s) are “subject to a balancing service and supplementary capacity in the form of a wind energy integration agreement.”
 - Objective is to reliably integrate wind for system security,
 - NPCC already recognizes 30% capacity for resource adequacy
- **HQD view is**
 - 5% supplemental capacity for adequacy, plus
 - energy modulation with a uniform 35% capacity return, and
 - 100 % contract under 1 minute control
- **An alternative view could be**
 - “Balancing service and supplementary capacity” means
 - The incremental Regulation and Load Following capacity needed for integration
 - Surely the government did not intend to force the isolated (and inefficient) balancing of wind and load separately
 - In any event, in addition to the ancillary services to be obtained through a separate RFP, if necessary or required, the modulation service and any additional supplemental capacity should also be obtained via separate RFPs

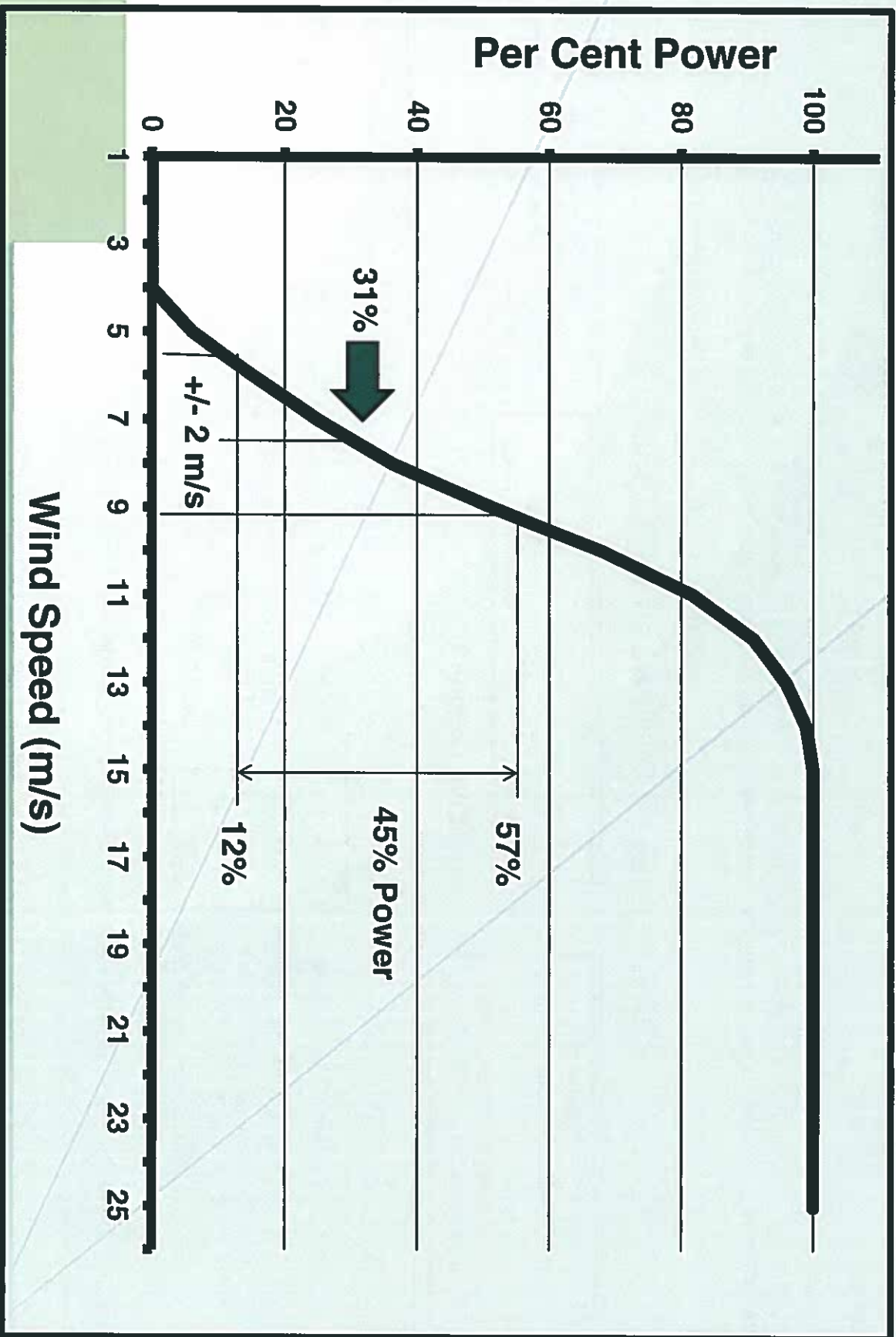
Isolated Operation of Wind Generation

- **Application requires 100% of “contract quantity” be under 1 minute CCR control**
 - If wind output is zero, the 100% capacity must be available to increase
 - If wind output is 100%, the 100% capacity must be able to decrease
 - 100% of contract quantity is effectively “Regulating Reserve”
- **But how much does wind actually vary? How much ancillary service capacity (Regulation and Load Following) is actually required to balance these variations?**
- **Answers require**
 - Understanding of wind generation characteristics
 - Analysis of actual historical wind generation data
- **HQD has not provided 1 minute data so hourly and 5 minute data was analysed**

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Typical Wind Generator Power Curve



Source - Marshall report Figure 6-2

Actual HQD Wind Production for 2012

Range of Hourly Wind Production - Maximum to Minimum For Single Hours

Installation Period	Installed Cap		Maximum Hour		Minimum Hour	
	MW	MWh/hr	% Capacity	MWh/hr	% Capacity	
Jan 1 - Mar 27	706.5	679.6	96.2%	0.01	0.00%	
Mar 28 - Oct 10	845.1	781.6	92.5%	0.02	0.00%	
Oct 11 - Nov 05	925.1	911.4	98.5%	0.40	0.04%	
Nov 06 - Dec 11	1036.1	952.0	91.9%	0.11	0.01%	
Dec 12 - Dec 31	1137.3	964.6	84.8%	2.05	0.18%	
Weighted Average Installed Capacity (IC) =		852.1				

Production Variations

Hour to Hour Variation (% of Capacity) Applied to 3139 MW in 2015 (MW)	Annual Average 3.2%	Maximum 26.9%
	100.3	844.1

Only 3.9% of hourly variations exceeded 10% of capacity
100% capacity control via AGC is excessive

Analysis of Wind Production for All Hours in 2012

Number of hours in year 2012 (HRS) =	8784	Hours						
	Actual Production	Potential Production	35% CF Production	Annual Differential	Hourly +ve Deviations	Hourly -ve Deviations	Absolute Modulation Value	
	a	b=IC*HRS	c=b*0.35	d=a-c	e=Sum(a-c)+	f=Sum(a-c)-	g=e-f	
Energy (MWh)	2,317,270	7,485,041	2,619,764	-302494	632,036	-934530	1,566,566	
Average Energy (MWh/h)	263.8	852.1	298.2	-34.4	187.0	-172.9	178.3	
Average Cap Fac (%)	31.0%	100.0%	35.0%	-4.0%	21.9%	-20.3%	20.9%	
Expected Dispatch (%Cap)					56.9%	14.7%		

Source - Marshall report Figure 6-3

Persistence Forecast for 2012

Persistence forecast assumes past generation from previous hour “persists” through the current hour to the next hour
 - allows time for CCR analysis and communication to balancing agent

	Production level considered (% of Capacity)					
	<100%	<15%	>15%	<60%	>60%	15% to 60%
Average Error (% of Capacity)	5.6%	3.4%	6.7%	5.5%	5.9%	6.9%
Applicable Hours (% Time)	100%	33.3%	66.7%	84.7%	15.3%	51.4%
Hours Excluded (% Time)	0%	66.7%	33.3%	15.3%	84.7%	48.6%
Maximum Single Error (% Cap)	44.9%	33.6%	44.9%	38.9%	44.9%	38.9%
2015 Wind Capacity (MW)	3139					
Average 2015 Error (MW)	175	107	210	174	185	217

Source - Marshall report Figure 6-4

Forecast errors are significantly smaller than 100% of capacity
The Application requirement for 100% control is excessive

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HQD Wind Forecasts vs Actual Generation

Wind Forecast Errors Jan 20-26, 2013

	Average Absolute Error		Maximum +ve Error		Maximum -ve Error	
	(MW)	(%Capacity)	(MW)	(%Capacity)	(MW)	(%Capacity)
Installed capacity = 1437 MW						
HQD 4 Hr Forecast *	79.9	5.56%	613.9	42.7%	-286.7	-19.9%
HQD 1 Hr Forecast *	72.1	5.02%	580.9	40.4%	-267.7	-18.6%
2 Hr Persistence Forecast **	63.3	4.40%	277.2	19.3%	-299.3	-20.8%
Slope Adjusted 2 Hr Persistence Forecast **	52.2	3.63%	246.4	17.1%	-323.6	-22.5%

Note - +ve error is forecast higher than actual
 -ve error is forecast lower than actual

* Regie IIR-2 #5.1.5.2
 ** WKM analysis

HQD forecast could be better
Forecast errors are only about 5% of capacity or less
Requirement for 100% capacity control on AGC is excessive

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Analysis of HQD 5 Minute Data

Operation of 1437 MW of Wind Capacity for Jan 20-26, 2013

		Hourly Intervals				Amount for
		Hourly Wind Production	% Installed Capacity	Hourly Deviations	% Installed Capacity	3139 MW in 2015
Average MW	868.7	60.4%	36.5	2.5%	80	
Maximum MW	1,116.9	77.7%	314.0	21.8%	686	
Minimum MW	314.0	21.8%	-174.9	12.2%	382	

		Five (5) Minute Intervals				Amount for
		5 Minute Wind Production	% Installed Capacity	5 Minute Deviations	% Installed Capacity	3139 MW in 2015
Average MW	868.7	60.4%	6.1	0.4%	13	
Maximum MW	1,133.1	78.8%	52.1	3.6%	114	
Minimum MW	279.4	19.4%	-71.5	-5.0%	-156	

Source – Marshall report Figure 6.5

Corrects Error in report

5 Minute variations are 5% of capacity or less

Only 2.3% of variations exceeded 1.5% of capacity

100% capacity control via AGC is excessive

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Isolated Wind Operation Conclusions

- HQD assumes that wind must be balanced separately from load
- Under this assumption, I conclude that for 3139 MW of wind generation in 2015
 - about **300MW of intra-hour Load Following** capacity is sufficient
 - hourly deviations are less than 10% of capacity for 96.1% of time
 - about **50MW of Regulating Reserve** capacity is sufficient
 - 5 minute deviations are less than 1.5% of capacity for 97.7% of time
- The Application requirement for 100% (3139 MW) control via AGC (or in 1 minute) is excessive even for isolated balancing of wind generation.
- **But isolated balancing of wind is counter to standard industry practice in North America.**
- The accepted approach requires **balancing the net variations of the load, wind production and other generation which minimizes the total balancing requirements and the associated costs.**

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FERC Order 764

Integration of Variable Generation Resources (VERs)

- *Transmission providers must offer intra-hourly transmission scheduling*
 - NYISO is implementing 15 minute scheduling available to HQD
 - ISO-NE is exploring 15 minute scheduling
- *Wind plants and other VERs must provide meteorological and forced outage data to the transmission provider*
 - Purpose is to improve power production forecasting
- *Schedule 10 - Generator Regulation & Frequency Response*
 - Not compulsory in the proforma OATT
 - Consider on case by case basis like examples in the Evidence of Mr. Hanser
- *Provide guiding principles for VER integration*

Intention is “efficient utilization of transmission and generation resources to the benefit of all customers”

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FERC Order 764

Guiding principles for VER Integration

- Approach for proper design of generator regulation and frequency response service charges
 - “Find the least cost methods of balancing the system as a whole”
 - “acquire the appropriate amount of reserves needed to integrate VERs reliably”
 - Include diversity benefits by “aggregating the variations of all resources”
 - Account “for diversity benefits among all resources and loads”
 - Determine “overall generation regulating reserve needs and allocate those costs accordingly”
 - Allocations of “proportionate share is based on the operational characteristics of such customers (or customer classes)”
- Mr. Hanser agrees

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“Additional ancillary service needs are always considered for the entire pool of resources, rather than just for VERs”

(Red underlines added for emphasis)

Wind Integration Studies - Regulation

Study	Peak Load	Wind	Penetration	Regulation	
	MW	MW	%Peak	MW	%Wind
GE-ERCOT 2008	65,000	15,000	23.1%	102	0.7%
NYISO 2010	37,130	8,000	21.5%	116	1.5%
UVIG #1	10,000	1,500	15.0%	8	0.5%
UVIG #2	33,000	3,300	10.0%	36	1.1%
HQ Regulation	39,000	3,000	7.7%	6	0.2%
IEA HQ Regulation	39,000	3,000	7.7%	30	1.0%
HQ Application	38,972	3,139	8.1%	3139	100.0%

Source – Marshall report Figure 9-1

Note HQD Application -
It has relatively low wind penetration (8%) but
excessive regulation request (100%)

Wind Integration Studies – Load Following

Study	Peak Load MW	Wind MW	Penetration %Peak	Load Following		
				MW 1 StDev	%Wind 3StDev	%Wind
NBSO 2005 #1	NB Area	3,300	400	3.7	11.1	2.8%
	#2 NB Area	3,300	600	8.1	24.3	4.1%
	#3 NS Area	2,100	400	7.7	23.1	5.8%
	#4 Maritimes	5,400	1000	10.3	30.9	3.1%
GE-NS Power 2013 #1	#1	2,100	335	16.0%	21	6.3%
	#2	2,100	488	23.2%	29	5.9%
	#3	2,100	551	26.2%	32	5.8%
	#4	2,100	916	43.6%	45	4.9%
Idaho 2013	#1	3,400	800	23.5%	50	6.3%
	#2	3,400	1,000	29.4%	130	13.0%
	#3	3,400	1,200	35.3%	195	16.3%
HQ Following #1	39,000	3,000	7.7%	82	2.7%	
IEA HQ Following	39,000	3,000	7.7%	150	5.0%	
HQ Application	38,972	3,139	8.1%	3139	100.0%	

Source – Marshall report Figure 9-2

Note HQD Application – Low penetration, excessive Load Following

Wind Integration Costs

	Peak Load MW	Wind MW	Penetration %Peak	Cost \$/MWh
Studies				
NBSO 2007 #1	3,300	400	12.1%	4.39
#2	3,300	600	18.2%	4.71
#3	3,300	800	24.2%	7.36
EXCEL Energy #1	7,148	720	10.1%	3.51
#2	7,148	1,075	15.0%	4.77
#3	6,922	1,400	20.2%	5.13
Idaho 2013 #1	3,400	800	23.5%	6.51
#2	3,400	1,000	29.4%	11.03
#3	3,400	1,200	35.3%	16.38
UVIG			20.0%	5.00
Hanser				
BPA	11,300	4,711	42%	\$4.81
PSE	4,900	773	16%	\$6.07
Idaho	3,245	678	21%	\$5.01
Westar	5,000	614	12%	\$0.58
NWE	1,784	141	8%	\$6.18

Source – Marshall report Figures 9-3 and 9-4

HQ is most similar to BPA – mainly hydro with large interties

Wind Integration Costs

- **Hanser evidence “provides Indicative costs for HQD”**
 - IR response to HQD-02-01 Régie IR 4.4
- **US Utility characteristics are very different than HQ**
 - Predominantly thermal systems
 - Higher costs because of unit commitment requirements
 - Integration challenge is much greater than HQD
 - Thermal plus higher wind penetration rates
 - Integration costs will be higher than in Québec
 - Lowest cost is BPA with extremely high penetration (Westar is not full service)
- **BPA is most similar to HQ**
 - Mainly hydro with large interconnections
 - Cost is \$5/MWh with 42% penetration
 - HQD with more hydro and 8% penetration should be much less
 - Reasonable cost is likely \$2 to 3/MWh not \$5/MWh
 - Indicative costs only without RFP or detailed study

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Mr. Hanser's Evidence

Wind integration in HQD

- **Four “unique” characteristics**
 - Contract duration
 - Needs both intra-hourly and long term
 - Type of services sought
 - “intra-hour, hourly and longer-term integration services, including capacity firming”
 - HQD desire services to be bundled
 - Location of the service providers
 - Need to be inside Quebec area
 - Transmission from NYISO not available
 - Ownership
 - HQD can not own generation
- **Many utility companies face similar challenges**
 - The issue is not the “unique” obligations of HQD but rather how HQD chooses to address them

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Conclusions

- **The separate services bundled into the Application should**
 - be unbundled and
 - procured via separate RFP's if deemed necessary or economic
- **Modulation and Supplemental adequacy capacity are not required for reliable wind integration**
- **100% contract under 1 minute control by CCR is excessive**
- **Isolated balancing of wind separate from other resources is**
 - Inconsistent with North American industry practice, as well as
 - Inefficient, costly and counter to a mandate to supply at least cost
- **The required services to balance 3139 MW of wind in the HQ system in 2015 based on existing studies is**
 - 6 to 31 MW of Regulating Reserve (AGC)
 - plus 80 to 126 MW of Load Following
 - which taken together is a total balancing capacity of 86 to 157 MW
- **Regulating Reserve and Load Following are separate capacity services which should be procured via separate RFPs in order to achieve a minimum cost of supply**

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Evidence of

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