

## A. Introduction

1. **Title:** Reliability Coordination – Monitoring and Analysis
2. **Number:** IRO-002-4
3. **Purpose:** Provide System Operators with the capabilities necessary to monitor and analyze data needed to perform their reliability functions.
4. **Applicability**
  - 4.1. Reliability Coordinator
5. **Effective Date:**

See Implementation Plan.
6. **Background:**

See the Project 2014-03 [project page](#).

## B. Requirements and Measures

- R1.** Each Reliability Coordinator shall have data exchange capabilities with its Balancing Authorities and Transmission Operators, and with other entities it deems necessary, for it to perform its Operational Planning Analyses, Real-time monitoring, and Real-time Assessments. *[Violation Risk Factor: High] [Time Horizon: Operations Planning, Same-Day Operations, Real-time Operations]*
- M1.** Each Reliability Coordinator shall have and provide upon request, evidence that could include but is not limited to a document that lists its data exchange capabilities with its Balancing Authorities and Transmission Operators, and with other entities it deems necessary, for it to perform its operational Planning Analyses, Real-time monitoring, and Real-time Assessments.
- R2.** Each Reliability Coordinator shall provide its System Operators with the authority to approve planned outages and maintenance of its telecommunication, monitoring and analysis capabilities. *[Violation Risk Factor: High] [Time Horizon: Operations Planning, Same-Day Operations, Real-time Operations]*
- M2.** Each Reliability Coordinator shall have and provide upon request evidence that could include but is not limited to a documented procedure or equivalent evidence that will be used to confirm that the Reliability Coordinator has provided its System Operators with the authority to approve planned outages and maintenance of its telecommunication, monitoring and analysis capabilities.
- R3.** Each Reliability Coordinator shall monitor Facilities, the status of Special Protection Systems, and non-BES facilities identified as necessary by the Reliability Coordinator, within its Reliability Coordinator Area and neighboring Reliability Coordinator Areas to identify any System Operating Limit exceedances and to determine any

Interconnection Reliability Operating Limit exceedances within its Reliability Coordinator Area. *[Violation Risk Factor: High] [Time Horizon: Real-Time Operations]*

- M3.** Each Reliability Coordinator shall have, and provide upon request, evidence that could include but is not limited to Energy Management System description documents, computer printouts, SCADA data collection, or other equivalent evidence that will be used to confirm that it has monitored Facilities, the status of Special Protection Systems, and non-BES facilities identified as necessary by the Reliability Coordinator, within its Reliability Coordinator Area and neighboring Reliability Coordinator Areas to identify any System Operating Limit exceedances and to determine any Interconnection Reliability Operating Limit exceedances within its Reliability Coordinator Area.
- R4.** Each Reliability Coordinator shall have monitoring systems that provide information utilized by the Reliability Coordinator’s operating personnel, giving particular emphasis to alarm management and awareness systems, automated data transfers, and synchronized information systems, over a redundant infrastructure. *[Violation Risk Factor: High] [Time Horizon: Real-time Operations]*
- M4.** The Reliability Coordinator shall have, and provide upon request, evidence that could include but is not limited to Energy Management System description documents, computer printouts, SCADA data collection, or other equivalent evidence that will be used to confirm that it has monitoring systems consistent with the requirement.

## **C. Compliance**

### **1. Compliance Monitoring Process**

#### **1.1. Compliance Enforcement Authority**

As defined in the NERC Rules of Procedure, “Compliance Enforcement Authority” (CEA) means NERC or the Regional Entity in their respective roles of monitoring and enforcing compliance with the NERC Reliability Standards.

#### **1.2. Compliance Monitoring and Assessment Processes:**

As defined in the NERC Rules of Procedure, “Compliance Monitoring and Assessment Processes” refers to the identification of the processes that will be used to evaluate data or information for the purpose of assessing performance or outcomes with the associated reliability standard.

#### **1.3. Data Retention**

The Reliability Coordinator shall keep data or evidence to show compliance as identified below unless directed by its Compliance Enforcement Authority to retain specific evidence for a longer period of time as part of an investigation:

The Reliability Coordinator shall retain its current, in force document and any documents in force for the current year and previous calendar year for Requirements R1, R2, and R3 and Measures M1, M2, and M3.

The Reliability Coordinator shall keep data or evidence for Requirement R4 and Measure M4 for the current calendar year and one previous calendar year.

If a Reliability Coordinator is found non-compliant, it shall keep information related to the non-compliance until found compliant.

The Compliance Enforcement Authority shall keep the last audit records and all requested and submitted subsequent audit records.

**1.4. Additional Compliance Information**

None.

Table of Compliance Elements

R #	Time Horizon	VRF	Violation Severity Levels			
			Lower VSL	Moderate VSL	High VSL	Severe VSL
R1	Operations Planning, Same-Day Operations, Real-time Operations	High	The Reliability Coordinator did not have data exchange capabilities with one applicable entity, or 5% or less of the applicable entities, whichever is greater.	The Reliability Coordinator did not have data exchange capabilities with two applicable entities, or more than 5% or less than or equal to 10% of the applicable entities, whichever is greater.	The Reliability Coordinator did not have data exchange capabilities with three applicable entities, or more than 10% or less than or equal to 15% of the applicable entities, whichever is greater.	The Reliability Coordinator did not have data exchange capabilities with four or more applicable entities or greater than 15% of the applicable entities, whichever is greater.
R2	Operations Planning, Same-Day Operations, Real-time Operations	High	N/A	N/A	N/A	The Reliability Coordinator failed to provide its System Operator with the authority to approve planned outages and maintenance of its telecommunication, monitoring and analysis capabilities.
R3	Real-time Operations	High	N/A	N/A	N/A	The Reliability Coordinator did not monitor Facilities, the status of Special Protection Systems, and non-BES facilities identified as necessary by the Reliability Coordinator, within its Reliability Coordinator Area and neighboring Reliability Coordinator Areas to identify any System Operating Limit exceedances and to determine any Interconnection Reliability

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R #	Time Horizon	VRF	Violation Severity Levels			
			Lower VSL	Moderate VSL	High VSL	Severe VSL
						Operating Limit exceedances within its Reliability Coordinator Area.
R4	Operations Planning, Same-Day Operations, Real-time Operations	High	N/A	N/A	N/A	The Reliability Coordinator did not have monitoring systems that provide information utilized by the Reliability Coordinator’s operating personnel, giving particular emphasis to alarm management and awareness systems, automated data transfers, and synchronized information systems, over a redundant infrastructure.

**D. Regional Variances**

None.

**E. Interpretations**

None.

**F. Associated Documents**

None.

**Version History**

Version	Date	Action	Change Tracking
0	April 1, 2005	Effective Date	New
0	August 8, 2005	Removed "Proposed" from Effective Date	Errata
1	November 1, 2006	Adopted by Board of Trustees	Revised
1	April 4, 2007	Replaced Levels of Non-compliance with the Feb 28, BOT approved Violation Severity Levels (VSLs) Corrected typographical errors in BOT approved version of VSLs	Revised to add missing measures and compliance elements
2	October 17, 2008	Adopted by NERC Board of Trustees	Deleted R2, M3 and associated compliance elements as conforming changes associated with approval of IRO-010-1. Revised as part of IROL Project
2	March 17, 2011	Order issued by FERC approving IRO-002-2 (approval effective 5/23/11)	FERC approval
2	February 24, 2014	Updated VSLs based on June 24, 2013 approval.	VSLs revised
3	July 25, 2011	Revised under Project 2006-06	Revised
3	August 4, 2011	Approved by Board of Trustees	Retired R1-R8 under Project 2006-06.

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4	November 13, 2014	Approved by Board of Trustees	Revisions under Project 2014-03
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### Guidelines and Technical Basis

#### **Rationale:**

During development of this standard, text boxes were embedded within the standard to explain the rationale for various parts of the standard. Upon BOT approval, the text from the rationale text boxes was moved to this section.

Changes made to the proposed definitions were made in order to respond to issues raised in NOPR paragraphs 55, 73, and 74 dealing with analysis of SOLs in all time horizons, questions on Protection Systems and Special Protection Systems in NOPR paragraph 78, and recommendations on phase angles from the SW Outage Report (recommendation 27). The intent of such changes is to ensure that Real-time Assessments contain sufficient details to result in an appropriate level of situational awareness. Some examples include: 1) analyzing phase angles which may result in the implementation of an Operating Plan to adjust generation or curtail transactions so that a Transmission facility may be returned to service, or 2) evaluating the impact of a modified Contingency resulting from the status change of a Special Protection Scheme from enabled/in-service to disabled/out-of-service.

#### **Rationale for Requirements:**

The data exchange elements of Requirements R1 and R2 from approved IRO-002-2 have been added back into proposed IRO-002-4 in order to ensure that there is no reliability gap. The SDT found no proposed requirements in the current project that covered the issue. Voice communication is covered in proposed COM-001-2 but data communications needs to remain in IRO-002-4 as it is not covered in proposed COM-001-2. Staffing of communications and facilities in corresponding requirements from IRO-002-2 is addressed in approved PER-004-2, Requirement R1 and has been deleted from this draft.

#### **Rationale for R2:**

Requirement R2 from IRO-002-3 has been deleted because approved EOP-008-1, Requirement R1, part 1.6.2 addresses redundancy and back-up concerns for outages of analysis tools. New Requirement R4 has been added to address NOPR paragraphs 96 and 97: *“...As we explain above, the reliability coordinator’s obligation to monitor SOLs is important to reliability because a SOL can evolve into an IROL during deteriorating system conditions, and for potential system conditions such as this, the reliability coordinator’s monitoring of SOLs provides a necessary backup function to the transmission operator....”*

#### **Rationale for R4:**

Requirement R4 added back from approved IRO-002-2 as the SDT found no proposed requirements that covered the issues.



**\* FOR INFORMATIONAL PURPOSES ONLY \***

**Enforcement Dates: Standard IRO-002-4 — Reliability Coordination - Monitoring and Analysis**

**United States**

<b>Standard</b>	<b>Requirement</b>	<b>Enforcement Date</b>	<b>Inactive Date</b>
IRO-002-4	All		

This standard has not yet been approved by the applicable regulatory authority.

## Standard IRO-002-4 — Reliability Coordination – Monitoring and Analysis

### Appendix QC-IRO-002-4

#### Provisions specific to the standard IRO-002-4 applicable in Québec

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This appendix establishes specific provisions for the application of the standard in Québec. Provisions of the standard and of its appendix must be read together for the purposes of understanding and interpretation. Where the standard and appendix differ, the appendix shall prevail.

#### A. Introduction

1. **Title:** No specific provision

2. **Number:** No specific provision

3. **Purpose:** No specific provision

4. **Applicability:**

##### Functions

No specific provision

##### Facilities

This standard applies to the facilities of the Main Transmission System (RTP) and, for requirement R3, to the facilities designated under this requirement.

5. **Effective Date:**

5.1. Adoption of the standard by the Régie de l'énergie: April 15, 2021

5.2. Adoption of the appendix by the Régie de l'énergie: April 15, 2021

5.3. Effective date of the standard and its appendix in Québec: April 1, 2022

All requirements become effective on August 1, 2022.

6. **Background:**

No specific provision

#### B. Requirements and Measures

**Specific provisions applicable to requirement R3 and measure M3:**

The expression “non BES” is replaced by “non RTP”.

#### C. Compliance

1. **Compliance Monitoring Process**

1.1. **Compliance Enforcement Authority**

The Régie de l'énergie is responsible, in Québec, for compliance monitoring with respect to the reliability standard and its appendix that it adopts.

1.2. **Compliance Monitoring and Assessment Processes**

Compliance Audit

Self-Certification

Spot Check

Compliance Investigation

Non-Compliance Self-Reporting

## Standard IRO-002-4 — Reliability Coordination – Monitoring and Analysis

### Appendix QC-IRO-002-4

#### Provisions specific to the standard IRO-002-4 applicable in Québec

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Periodic Data Submittal

Exception Reporting

Investigation following a complaint

#### 1.3. Data Retention

No specific provision

#### 1.4. Additional Compliance Information

No specific provision

### 2. Table of Compliance Elements

The expression “non BES” is replaced by “non RTP”

#### D. Regional Variances

No specific provision

#### E. Interpretations

No specific provision

#### F. Associated Documents

No specific provision

#### Guidelines and Technical Basis

No specific provision

#### Version History

Version	Date	Action	Change Tracking
0	June 16, 2017	New appendix	New
1	April 15, 2021	Removal in section B of the specific provision regarding Generation facilities for industrial use as per decision D-2021-047	Revision