

A. Introduction

1. **Title:** **Operating Personnel Responsibility and Authority**
2. **Number:** PER-001-0.2
3. **Purpose:** Transmission Operator and Balancing Authority operating personnel must have the responsibility and authority to implement real-time actions to ensure the stable and reliable operation of the Bulk Electric System.
4. **Applicability**
 - 4.1. Transmission Operators.
 - 4.2. Balancing Authorities.
5. **Effective Date:** December 10, 2009

B. Requirements

- R1. Each Transmission Operator and Balancing Authority shall provide operating personnel with the responsibility and authority to implement real-time actions to ensure the stable and reliable operation of the Bulk Electric System.

C. Measures

- M1. The Transmission Operator and Balancing Authority provide documentation that operating personnel have the responsibility and authority to implement real-time actions to ensure the stable and reliable operation of the Bulk Electric System. These responsibilities and authorities are understood by the operating personnel. Documentation shall include:
 - M1.1 A written current job description that states in clear and unambiguous language the responsibilities and authorities of each operating position of a Transmission Operator and Balancing Authority. The job description identifies personnel subject to the authority of the Transmission Operator and Balancing Authority.
 - M1.2 The current job description is readily accessible in the control room environment to all operating personnel.
 - M1.3 A written current job description that states operating personnel are responsible for complying with the NERC reliability standards.
 - M1.4 Written operating procedures that state that, during normal and emergency conditions, operating personnel have the authority to take or direct timely and appropriate real-time actions. Such actions shall include shedding of firm load to prevent or alleviate System Operating Limit or Interconnection Reliability Operating Limit violations. These actions are performed without obtaining approval from higher-level personnel within the Transmission Operator or Balancing Authority.

D. Compliance

1. Compliance Monitoring Process

Periodic Review: An on-site review including interviews with Transmission Operator and Balancing Authority operating personnel and document verification will be conducted every three years. The job description identifying operating personnel authorities and responsibilities will be reviewed, as will the written operating procedures or other documents delineating the authority of the operating personnel to take actions necessary to maintain the reliability of the Bulk Electric System during normal and emergency conditions.

1.1. Compliance Monitoring Responsibility

Self-certification: The Transmission Operator and Balancing Authority shall annually complete a self-certification form developed by the Regional Reliability Organization based on measures M1.1 to M1.4.

1.2. Compliance Monitoring Period and Reset Timeframe

One calendar year.

1.3. Data Retention

Permanent.

1.4. Additional Compliance Information

2. Levels of Non-Compliance

2.1. Level 1: The Transmission Operator or Balancing Authority has written documentation that includes three of the four items in M1.

2.2. Level 2: The Transmission Operator or Balancing Authority has written documentation that includes two of the four items in M1.

2.3. Level 3: The Transmission Operator or Balancing Authority has written documentation that includes one of the four items in M1.

2.4. Level 4: The Transmission Operator or Balancing Authority has written documentation that includes none of the items in M1, or the personnel interviews indicate Transmission Operator or Balancing Authority do not have the required authority.

E. Regional Differences

None identified.

Version History

| Version | Date | Action | Change Tracking |
|---------|-------------------|---|-----------------|
| 0 | February 8, 2005 | Adopted by NERC Board of Trustees | New |
| 0 | April 1, 2005 | Effective Date | New |
| 0 | August 8, 2005 | Removed “Proposed” from Effective Date | Errata |
| 0.1 | April 15, 2009 | Replaced “position” with “job” on M1.1 | Errata |
| 0.1 | April 15, 2009 | Errata adopted by Standards Committee | Errata |
| 0.1 | December 10, 2009 | Approved by FERC — added effective date | Update |

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|-----|--------------------|---|--------|
| 0.2 | March 8, 2012 | Errata adopted by Standards Committee; (moved the word, “Interconnection” in Measure M1.4. so that it appears as the first word in the term “Interconnection Reliability Operating Limit” rather than the last word in the phrase, “System Operating Limit Interconnection) | Errata |
| 0.2 | September 13, 2012 | FERC approved | Errata |

Standard PER-001-0.2 — Operating Personnel Responsibility and Authority

Appendix QC-PER-001-0.2

Provisions specific to the standard PER-001-0.2 applicable in Québec

This appendix establishes specific provisions for the application of the standard in Québec. Provisions of the standard and of its appendix must be read together for the purposes of understanding and interpretation. Where the standard and appendix differ, the appendix shall prevail.

A. Introduction

1. **Title:** Operating Personnel Responsibility and Authority
2. **Number:** PER-001-0.2
3. **Purpose:** No specific provision
4. **Applicability:** No specific provision
5. **Effective Date:**
 - 5.1. Adoption of the standard by the Régie de l'énergie: October 30, 2013
 - 5.2. Adoption of the appendix by the Régie de l'énergie: October 30, 2013
 - 5.3. Effective date of the standard and its appendix in Québec: April 1, 2015

B. Requirements

No specific provision

C. Measures

No specific provision

D. Compliance

1. Compliance Monitoring Process

No specific provision

1.1. Compliance Monitoring Responsibility

The Régie de l'énergie is responsible, in Québec, for compliance monitoring with respect to the reliability standard and its appendix that it adopts.

1.2. Compliance Monitoring Period and Reset Timeframe

No specific provision

1.3. Data Retention

No specific provision

1.4. Additional Compliance Information

No specific provision

2. Levels of Non-Compliance

No specific provision

Standard PER-001-0.2 — Operating Personnel Responsibility and Authority

Appendix QC-PER-001-0.2

Provisions specific to the standard PER-001-0.2 applicable in Québec

E. Regional Differences

No specific provision

Revision History

| Revision | Adoption Date | Action | Change Tracking |
|-----------------|----------------------|---------------|------------------------|
| 0 | October 30, 2013 | New Appendix | New |
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