

Québec Reliability Standards Compliance Monitoring and Enforcement Program Implementation Plan

2026 Annual Implementation Plan

Version 2

Version 2 effective April 1, 2026

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I. Introduction

The Québec Reliability Standards Compliance Monitoring and Enforcement Program Implementation Plan (Québec Implementation Plan) is the annual operating plan conducted by the Northeast Power Coordinating Council, Inc. (NPCC), while performing its responsibilities and duties as described in the *Québec Reliability Standards Compliance Monitoring and Enforcement Program (QCMEP)*.¹ NPCC conducts QCMEP activities in accordance with the *Amended and Restated Agreement on the Implementation of the Québec Reliability Standards Compliance Monitoring and Enforcement Program (QCMEP Agreement)*.²

The Electric Reliability Organization (ERO) Enterprise (ERO Enterprise) consists of the North American Electric Reliability Corporation (NERC), NPCC, and other Regional Entities. The ERO Enterprise developed one ERO Compliance Monitoring and Enforcement Program Implementation Plan (ERO Enterprise IP).³ NPCC developed the Québec Implementation Plan using the same approach and concepts that are used during the development of the ERO Enterprise IP. This Québec Implementation Plan represents NPCC's high-level priorities for the 2026 QCMEP. NPCC will adapt monitoring activities for each registered entity based on its unique characteristics. At all times, registered entities remain responsible for compliance with all Reliability Standards and requirements applicable to their registered functions.

During the implementation year, NPCC, with approval from the Régie de l'énergie du Québec (the Régie), may update the Québec Implementation Plan. Updates may be required to reflect changes to compliance monitoring processes, major events, Régie orders, or other developments. Any updates to the Québec Implementation Plan will be communicated to registered entities and NERC, if applicable.

II. Overview of Framework

The ERO Enterprise uses the Risk-based Compliance Oversight Framework (Framework) to identify ERO Enterprise-wide risks to reliability and subsequent mitigating factors that may reduce or eliminate a specific risk to interconnection and/or continent-wide risks to reliability. To accomplish this, the ERO Enterprise identifies risk elements using data including, but not limited to: compliance findings; event analysis experience; data analysis;

¹ [Québec Reliability Standards Compliance Monitoring and Enforcement Program \(QCMEP\)](#), effective September 15, 2022.

² [Amended and Restated Agreement on the Implementation of the Québec Reliability Standards Compliance Monitoring and Enforcement Program](#), effective September 15, 2022.

³ [2026 ERO Enterprise Compliance Monitoring and Enforcement Program Implementation Plan, Version 1.0](#) (October 2025).

the professional judgment of ERO Enterprise staff, committees, and subcommittees (e.g., NERC Reliability Issues Steering Committee (RISC)); in addition to the State of Reliability Report,⁴ the Long-Term Reliability Assessment,⁵ and publications from the RISC,⁶ special assessments, the ERO Enterprise Strategic Plan, and ERO Event Analysis Process insights. The risks identified by the ERO Enterprise are used by NPCC to develop the list of actively monitored Reliability Standards and requirements and to focus compliance monitoring and enforcement activities in Québec.

The ERO Enterprise reviewed and reassessed the 2025 risk elements to determine applicability for 2026. The ERO Enterprise risk elements are shown below in Table 1. NPCC also considered Québec risks, specific circumstances, and operational characteristics associated with registered entities in Québec for 2026. NPCC identified one additional risk element that is specific for the Québec interconnection in Table 2.

NPCC uses the Framework and other risk-based processes, including Inherent Risk Assessments (IRAs), to develop a list of NERC Reliability Standards and requirements for Québec that are intended to reflect NPCC's prioritization within the QCMEP and to communicate to Québec registered entities to bring focus within their operations to address each prioritized risk.

A. Inherent Risk Assessment

NPCC will continue to perform an IRA of registered entities to categorize the risks posed to the reliability of the electric power transmission system. NPCC performs an IRA for all registered entities that have been considered for an audit in the annual implementation plan. The IRA's objective is to identify areas of focus, and NPCC uses the IRA as an input to determine the registered entity's final compliance monitoring scope and oversight plan. An IRA considers entity-specific risk factors such as assets, systems, geography, interconnectivity, prior compliance history, and overall unique entity composition. NPCC evaluates these risk factors against the violation risk factors included in the Reliability Standard, and categorized as high, medium, and low. NPCC then uses the risk factors ratings to map a proposed scope for monitoring purposes. To the extent possible, NPCC will use available information to conduct the IRA without requiring pre-audit information from the registered entity.

B. Compliance Monitoring Tools

The Québec Implementation Plan documents the planned compliance monitoring tools (e.g., off-site or on-site audits, spot checks, or self-certifications). Entities registered as a

⁴ [2025 State of Reliability](#) (June 2025).

⁵ [2024 Long-Term Reliability Assessment](#), December 2024 (Updated July 15, 2025).

⁶ [2025 ERO Reliability Risk Priorities Report](#) (August 2025).

Reliability Coordinator, a Balancing Authority, or a Transmission Operator will remain on an audit cycle of at least every three (3) years. The remaining registered entity functions are monitored at least once every seven (7) years. The determination of the appropriate compliance monitoring tools is adjusted, as needed, within a given implementation year.

C. Enforcement of Reliability Standards

The QCMEP permits risk-based enforcement of Reliability Standards. If after NPCC’s evaluation, a Non-Compliance involves only a low-level risk to the reliability of electric power transmission and the registered entity takes steps to correct the Non-Compliance, NPCC may recommend the Non-Compliance be processed using the Simplified Identification, Correction, and Monitoring (SICM) procedure. Under the simplified procedure, if the registered entity corrects the situation to the Régie’s satisfaction, no financial penalty or sanction is imposed. This process encourages prompt identification and correction of Non-Compliance by registered entities.

Penalties and sanctions are warranted for some moderate risk violations and most, if not all, serious or substantial risk violations. Penalty or sanction credits are typically offered when the registered entity offers valued behavior such as cooperation, accountability (affirmatively accepting responsibility for Non-Compliance), a culture of compliance, and self-identification of Non-Compliance.

III. Content of Annual Implementation Plan

A. Risk Elements

Table 1 shows the 2025 ERO Risk Elements and the 2026 ERO Risk Elements. The risk element development process considers data, reports, and publications to identify risks which translate into compliance monitoring.

Table 1: Comparison of ERO Risk Elements	
2025 Risk Elements	2026 Risk Elements
Remote Connectivity	Remote Connectivity
Supply Chain	Supply Chain
Physical Security	Physical Security
Incident Response	Grid Transformation
Transmission Planning and Modeling	
Inverter-Based Resources	
Facility Ratings	Facility Ratings
Extreme Weather Response	Extreme Weather Response

In addition to the Risk Elements identified by the ERO Enterprise, Gaps in Program Execution remains as a unique Risk Element applicable in Québec in 2026 as reflected in Table 2 below.

Additional NERC Reliability Standard requirements under this Québec Regional Risk Element are identified in the Québec actively monitored list in Table 3.

Table 2: Regional Risk Element of Québec	
Regional Risk Element for Québec	Justification
Gaps in Program Execution	The entity needs not only to show compliance, but internal controls need to be in place, working, and effective. Trends in gaps that NPCC has observed in recent compliance monitoring engagements and/or other ERO identified risks appear as requirements in Table 3 under “Gaps in Program Execution.”

B. Reliability Standards Effective

The Régie adopts and makes effective NERC Reliability Standards and their Québec appendices (the Reliability Standards). The Reliability Standards effective in Québec and those to become effective in Québec are identified on the [Reliability Standards](#) webpage of the Régie’s website.

C. Québec Areas of Focus

Table 3 shows the list of Reliability Standards and requirements that NPCC will actively monitor in Québec for 2026.

To develop Table 3, NPCC uses the 2026 ERO Enterprise Areas of Focus as a baseline and then compares those to the NPCC Areas of Focus while considering which Reliability Standards and requirements are effective in Québec and which Québec entities are scheduled for audit in 2026. Finally, NPCC may decide to add Areas of Focus for Québec entities to Table 3 that are associated with an ERO Enterprise 2026 Risk Element, while the Reliability Standard and requirement do not necessarily correspond to an ERO Enterprise 2026 Risk Element.

Table 3: Actively Monitored Standards and Requirements for 2026				
Area of Focus Identification	Risk Element	Standard	Requirement(s)	Monitored Function(s)
ERO Enterprise IP	Grid Transformation	CIP-002-5.1a	R1	DP, GO, GOP, TO
ERO Enterprise IP	Remote Connectivity; Physical Security; Grid Transformation	CIP-003-8	R2	DP, GO, GOP, TO
Québec Specific	Gaps in Program Execution	CIP-004-7	R4, R5	DP, GO, GOP, TO

Table 3: Actively Monitored Standards and Requirements for 2026

Area of Focus Identification	Risk Element	Standard	Requirement(s)	Monitored Function(s)
ERO Enterprise IP	Grid Transformation	CIP-004-7	R6	DP, GO, GOP, TO
ERO Enterprise IP	Remote Connectivity	CIP-005-7	R2, R3	DP, GO, GOP, TO
ERO Enterprise IP	Physical Security	CIP-006-6	R1	DP, GO, GOP, TO
ERO Enterprise IP	Physical Security	CIP-007-6	R2	DP, GO, GOP, TO
ERO Enterprise IP	Supply Chain	CIP-010-4	R1	DP, GO, GOP, TO
ERO Enterprise IP	Grid Transformation	CIP-011-3	R1	DP, GO, GOP, TO
ERO Enterprise IP	Remote Connectivity	CIP-012-1	R1	DP, GO, GOP, TO
ERO Enterprise IP	Supply Chain	CIP-013-2	R1	DP, GO, GOP, TO
ERO Enterprise IP	Grid Transformation	CIP-014-3	R1	TO
ERO Enterprise IP	Physical Security	CIP-014-3	R4, R5	TO
Québec Specific	Gaps in Program Execution	CIP-014-3	R6	TO
Québec Specific	Gaps in Program Execution	EOP-005-3	R14	GOP
ERO Enterprise IP	Grid Transformation	FAC-001-4	R1, R2	GO, TO
ERO Enterprise IP	Grid Transformation	FAC-002-4	R1, R2	TO, TP, GO
ERO Enterprise IP	Extreme Weather Response	FAC-003-4 (Until Sept. 30, 2026)	R6	GO, TO

Table 3: Actively Monitored Standards and Requirements for 2026

Area of Focus Identification	Risk Element	Standard	Requirement(s)	Monitored Function(s)
ERO Enterprise IP	Extreme Weather Response	FAC-003-5 (Effective Oct. 1, 2026)	R6	GO, TO
ERO Enterprise IP	Facility Ratings	FAC-008-5	R6	GO, TO
ERO Enterprise IP	Grid Transformation	MOD-025-2	R1, R2, R3	GO, TO
ERO Enterprise IP	Grid Transformation	MOD-026-1	R2, R6	GO, TP
ERO Enterprise IP	Grid Transformation	MOD-027-1	R5	TP
ERO Enterprise IP	Grid Transformation	MOD-031-3	R1, R2	PC, TP, RP, DP
ERO Enterprise IP	Grid Transformation	MOD-032-1	R1, R2, R3, R4	PC, TP, GO, LSE, RP, TO, TSP
ERO Enterprise IP	Grid Transformation	PRC-005-6	R3, R5	TO, GO, DP
Québec Specific	Gaps in Program Execution	PRC-006-5	R9	TO, DP
Québec Specific	Gaps in Program Execution	PRC-019-2	R1, R2	GO
ERO Enterprise IP	Grid Transformation	PRC-024-3	R1, R2	GO
ERO Enterprise IP	Grid Transformation	PRC-027-1	R1, R2, R3	DP, GO, TO
ERO Enterprise IP	Grid Transformation	TPL-001-4	R1, R2, R3, R4, R5, R6, R7	TP, PC

IV. Compliance Monitoring

A. Compliance Audits

Table 4 shows the Compliance Audits that will be conducted in 2026.

Table 4: Audit Plan for 2026			
Off-site Operations & Planning Audits			
Registered Entity	Acronym	Functions Audited	Tentative Audit Date
Énergie éolienne Vents du Kempt S.E.C.	VDK	GO, GOP	Second quarter
Éoliennes de L'Érable S.E.C.	EER	GO, GOP	Second quarter
Kruger Énergie Montérégie S.E.C.	MON	GO, GOP	Second quarter
Off-site CIP and Operations & Planning Audits			
Registered Entity	Acronym	Functions Audited	Audit Date
Hydro-Québec	HQ	DP, GO, GOP, LSE, PA, RP, TO, TP, TSP	Second quarter

Table 5 shows the Compliance Audits that will be conducted in 2027.

Table 5: Audit Plan for 2027		
Off-site Operations & Planning Audits		
Registered Entity	Acronym	Functions Audited
EEN CA Lac Alfred S.E.C. et Enbridge Lac-Alfred Wind Project S.E.C.	LA	GO, GOP
EEN CA Rivière-du-Moulin S.E.C. et Éolien DIM S.E.C.	RDM	GO, GOP

B. Self-Certification

NPCC, as authorized or requested by the Régie, may implement Self-Certifications on a quarterly basis. The Self-Certification notification from NPCC will identify whether the Self-Certification applies to the entire Reliability Standard or whether it applies to specific requirements and/or sub-requirements. The notification will also identify whether evidence should accompany the Self-Certification and will provide a specific amount of time to respond to the Self-Certification.

In 2026, NPCC does not plan to conduct any Self-Certifications for Québec entities.

C. Spot Checks

NPCC, as authorized or requested by the Régie, may initiate a Spot Check at any time. NPCC will provide the registered entity at least twenty (20) days advance notice of a Spot Check.

D. Non-Compliance Self-Reporting

A registered entity shall submit a Non-Compliance Self-Report at the time the registered entity becomes aware that it is not complying or may not have complied with a Reliability Standard declared in effect by the Régie, or that a change in the severity of a previously reported Non-Compliance has occurred. A registered entity shall make reports through the Régie’s *Système de Surveillance de la Conformité au Québec (SSCQ)*.

Registered entities should include sufficient information in Self-Reports to permit NPCC to assess the Non-Compliance and the risk it poses to the reliability of the electric power transmission system. This must include a description, scope, and root cause(s) of the Non-Compliance. Self-Reports should also include a comprehensive description of any mitigation measures and whether they have concluded or are still in progress. The mitigation measures must correct the issue, address the contributing cause(s), and prevent recurrence.

E. Periodic Data Submittals

For Reliability Standards that contain an obligation to report periodically or to report only after an event occurs, registered entities shall provide a Periodic Data Submittal for the particular requirement as per the deadlines in Table 6.

Table 6: 2026 Periodic Data Submittal Matrix for Québec

Reliability Standard	Requirement	Text	Function	Submit To	Submittal Frequency	Due Dates
BAL-003-2	R1	Each Frequency Response Sharing Group (FRSG) or Balancing Authority that is not a member of a FRSG shall achieve an annual Frequency Response Measure (FRM) (as calculated and reported in accordance with Attachment A) that is equal to or more negative than its Frequency Response Obligation (FRO) to ensure that sufficient Frequency Response is provided by each FRSG or BA that is not a member of a FRSG to maintain Interconnection Frequency Response equal to or more negative than the Interconnection Frequency Response Obligation.	BA	NPCC and Régie through SSCQ	Annually	According to the dates indicated in Attachment A of the BAL-003-2 Reliability Standard - Timeline for Balancing Authority Frequency Response and Frequency Bias Setting Activities.
EOP-004-4	R2	Each Responsible Entity shall report events specified in EOP-004-4 Attachment 1 to the entities specified per their event reporting Operating Plan by the later of 24 hours of recognition of meeting an event type threshold for reporting or by the end of the Responsible Entity’s next business day (4 p.m. local	See Standard	NERC	Event driven as per R2	Within 24 hours of recognition of meeting an event type threshold for reporting or by the end of the next business day if the event occurs

Table 6: 2026 Periodic Data Submittal Matrix for Québec

Reliability Standard	Requirement	Text	Function	Submit To	Submittal Frequency	Due Dates
		time will be considered the end of the business day).				on a weekend
EOP-008-2	R8	Each Reliability Coordinator, Balancing Authority, and Transmission Operator that has experienced a loss of its primary or backup functionality and that anticipates that the loss of primary or backup functionality will last for more than six calendar months shall provide a plan to its Regional Entity within six calendar months of the date when the functionality is lost showing how it will re-establish primary or backup functionality.	RC/BA/TOP	NPCC and Régie through SSCQ	Event driven as per R8	Within six calendar months of the date when the functionality is lost
FAC-003-4 (Until Sept. 30, 2026) FAC-003-5 (Effective Oct. 1, 2026)	C.1.4	The applicable Transmission Owner and applicable Generator Owner will submit a quarterly report to its Regional Entity, or the Regional Entity's designee, identifying all Sustained Outages of applicable lines operated within their Rating and all Rated Electrical Operating Conditions as determined by the applicable Transmission Owner or applicable Generator Owner to have been caused by vegetation, except as excluded in footnote 2, and include as a minimum the following: ...	TO/GO	NPCC and Régie through SSCQ	Quarterly, but only if there are FAC-003 events in the quarter.	Within 20 days after the end of the quarter AND only if there was a qualifying event in the previous quarter
PRC-002-2 (Until June 30, 2026)	R12	Each Transmission Owner and Generator Owner shall, within 90 calendar days of the discovery of a failure of the recording capability for the SER, FR or DDR data, either: <ul style="list-style-type: none"> • Restore the recording capability, or • Submit a Corrective Action Plan (CAP) to the Regional Entity and implement it. 	TO/GO	NPCC and Régie through SSCQ	Event driven as per R12	Within 90 calendar days of the discovery of a failure of the recording capability for the SER, FR or DDR data
PRC-002-5 (Effective July 1, 2026)	R12	Each Transmission Owner and Generator Owner shall, upon discovery of a failure of the recording capability for the SER, FR, or DDR data: <ul style="list-style-type: none"> • Restore the recording capability, within 90 calendar days, or • Submit a Corrective Action Plan (CAP) to the Regional Entity within 90 calendar days and then implement it according to CAP timeline. 	TO/GO	NPCC and Régie through SSCQ	Event driven as per R12	Within 90 calendar days of the discovery of a failure of the recording capability for the SER, FR or DDR data
PRC-023-4 (Until Sept. 30, 2026) PRC-023-6 (Effective Oct. 1, 2026)	R5	Each Transmission Owner, Generator Owner, and Distribution Provider that sets transmission line relays according to Requirement R1 criterion 12 shall provide an updated list of the circuits associated with those relays to its Regional Entity at least once each calendar year, with no more than 15 months between reports, to allow the ERO to compile a list of all circuits that have protective relay settings that limit circuit capability.	TO/GO/DP	NPCC and Régie through SSCQ	Annually, but only if the entity chooses to set relays using Criterion 12 of R1	If the entity chooses to set relays on circuits according to Criterion 12 of R1, the entity must at least once each calendar year, with no more than 15 months between reports, provide the updated list to NPCC.
PRC-023-4 (Until Sept. 30, 2026) PRC-023-6 (Effective Oct. 1, 2026)	R6.2	Provide the list of circuits to all Regional Entities, Reliability Coordinators, Transmission Owners, Generator Owners, and Distribution Providers within its Planning Coordinator area within 30 calendar days of the establishment of the initial list and within 30 calendar days of any changes to that list.	PC	NPCC and Régie through SSCQ	Event driven as per R6.2	Within 30 calendar days of the establishment of the initial list and within 30 days of any changes to list

Table 6: 2026 Periodic Data Submittal Matrix for Québec

Reliability Standard	Requirement	Text	Function	Submit To	Submittal Frequency	Due Dates
PRC-028-1 (Effective July 1, 2026)	R8	Each Generator Owner shall, within 90 calendar days of the discovery of a failure of the recording capability for the SER, FR or DDR data, either: <ul style="list-style-type: none"> Restore the recording capability, or Submit a Corrective Action Plan (CAP) to the Regional Entity and implement it. 	GO	NPCC and Régie through SSCQ	Only if event occurs as per R8	Within 90 calendar days of a failure of the recording capability for the SER, FR, or DDR data; if recording capability has not been restored.
TPL-007-4	R7.4	The Corrective Action Plan shall... Be submitted to the Compliance Enforcement Authority (CEA) with a request for extension of time if the responsible entity is unable to implement the CAP within the timetable provided in Part 7.3. The submitted CAP shall document the following: 7.4.1. Circumstances causing the delay for fully or partially implementing the selected actions in Part 7.1 and how those circumstances are beyond the control of the responsible entity; 7.4.2. Revisions to the selected actions in Part 7.1, if any, including utilization of Operating Procedures, if applicable; and 7.4.3. Updated timetable for implementing the selected actions in Part 7.1.	PC/TP	NPCC and Régie through SSCQ	Event driven (the need for an extension) under a Corrective Action Plan	Within a timely manner following the identification of the responsible entity being unable to implement the CAP within the timetable submitted for Part 7.3 and prior to the end date of the submitted timetable.
TPL-007-4	R11.4	Regarding a Corrective Action Plan (CAP) addressing how the performance requirements will be met. The CAP shall: 11.4. Be submitted to the CEA with a request for extension of time if the responsible entity is unable to implement the CAP within the timetable provided in Part 11.3. The submitted CAP shall document the following: 11.4.1. Circumstances causing the delay for fully or partially implementing the selected actions in Part 11.1 and how those circumstances are beyond the control of the responsible entity; 11.4.2. Revisions to the selected actions in Part 11.1, if any, including utilization of Operating Procedures, if applicable; and 11.4.3. Updated timetable for implementing the selected actions in Part 11.1.	PC/TP	NPCC and Régie through SSCQ	Event driven (the need for an extension) under a Corrective Action Plan	Within 1 year of completion of the supplemental GMD Vulnerability Assessment and in a timely manner after determining that the implementation of the CAP by the responsible entity will require an extension of the timetable submitted per R11.3.

NPCC Submission Attestation

NPCC attests that this 2026 Québec Implementation Plan is both necessary and sufficient at this time for the compliance monitoring of the Reliability Standards in effect in Québec.

V. Version History

Version	Approval Date	Effective Date	Modifications
1	November 27, 2025	January 1, 2026	Original version
2	March 18, 2026	April 1, 2026	<ul style="list-style-type: none">• Added a “Version History”.• Updated 2027 Audit Plan.• Updated the Table 6 2026 Periodic Data Submittal Matrix.